

REMARKS:

The specification has been amended to include headings, to provide consistency with the claims and for syntax. The claims have been amended to remove characterizing clauses, assume infringement when the goods are sold (prior to being put into use), to provide applicants with the protection to which they are entitled. Method claims 15-21 define how aspects of the apparatus operate.

Entry is in order.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 07-1337 and please credit any excess fees to such deposit account.

Respectfully submitted,

LOWE HAUPTMAN GILMAN & BERNER, LLP



Allan M. Lowe

Registration No. 19,641

Customer Number: 22429  
1700 Diagonal Road, Suite 310  
Alexandria, Virginia 22314  
(703) 684-1111 AML/pjc  
Facsimile: (703) 518-5499  
Date: January 28, 2004

TITLE OF INVENTION

OPTICAL BEAM SPLITTER APPARATUS

FIELD OF INVENTION

The present invention relates to optical beam splitters, and  
5 in particular to optical beam splitters that can produce two  
reflected beams, with the angle of reflection of one of the  
beams being variable.

BACKGROUND ART

10 The output of a semiconductor laser will vary over time as  
the characteristics of the device change, and may also vary  
in response to changes in temperature, etc. It is important  
for lasers used in optical communications systems that the  
laser output is stable with regard to the power and the  
15 wavelength of the transmitted light. Known systems that are  
used for monitoring the wavelength and power level of use  
interferometers, such as, for example, a Mach-Zehnder  
interferometer, and consequently are expensive and complex.

20 SUMMARY OF INVENTION

According to a first aspect of the invention, there is  
provided a beam splitter apparatus comprising a first beam  
splitter mount and a second beam splitter mount, the first  
beam splitter mount being coupled to the second beam splitter  
25 mount by a deformable connection, the beam splitter apparatus  
being characterised in that, in use, a force is applied to  
the second beam splitter mount to rotate the second beam  
splitter mount relative to the first beam splitter mount.  
Preferably the rotation of the second beam splitter mount  
30 relative to the first beam splitter mount is achieved by  
flexing the deformable connection.

A deformable connection is one that is sufficiently rigid such that in the absence of the application of any external force, the second beam splitter mount does not move relative to the first beam splitter mount. However, under the application of an external force, the second beam splitter mount can be moved into a desired position such that the position will be maintained once the external force is removed.

10

The rotation of the second beam splitter mount relative to the first beam splitter mount may be ten degrees or less and preferably is two degrees or less. Preferably the beam splitter apparatus comprises a material having a coefficient of thermal expansion of 8ppm/K or less. The beam splitter apparatus may comprise a metallic alloy, and a preferred metallic alloy is kovar.

15

The beam splitter apparatus may further comprise a first beam splitter mounted in the first beam splitter mount and a second beam splitter mounted in the second beam splitter mount, the beam splitter apparatus, in use, being arranged such that the first beam splitter and the second beam splitter receive light emitted by an optical source. Preferably the light reflected by the first beam splitter is used to determine the power output of the light emitted by the optical source and the light reflected by the second beam splitter is used to determine a wavelength property of the light emitted by the optical source.

20

25

30

BRIEF DESCRIPTION OF THE DRAWING

The invention will now be described, by way of example only, with reference to the following Figures in which:

- 5        Figure 1 shows a schematic depiction of a beam splitter apparatus according to the present invention;  
Figure 2 shows a schematic depiction of a beam splitter apparatus according to the present invention;  
Figure 3 shows a schematic depiction of a beam splitter  
10       apparatus according to the present invention; and  
Figure 4 shows a schematic depiction of a further embodiment of a beam splitter apparatus according to the present invention.

15       DETAILED DESCRIPTION OF THE DRAWING

Figure 1 shows a beam splitter apparatus 10 according to the present invention. The beam splitter apparatus 10 comprises base 20, first beam splitter mount 30, second beam splitter mount 40, and deformable connection 50. The first beam  
20       splitter mount 30 and second beam splitter 40 mount house first beam splitter 35 and second beam splitter 45 respectively. The base 20 may be adapted to receive a light source 60 and focussing means 70. Additionally, displacement means 80 may be connected to the second beam splitter mount  
25       40.

When the second beam splitter mount 40 is secured in a first position it is substantially parallel with the first beam splitter 60. The light source 60 is arranged to emit light  
30       that will be incident on the focussing means 70 and then focussed onto the first beam splitter 30. A fraction of the

light incident on the first beam splitter 30 will be reflected at an angle from the incident light path whilst the rest of the light will continue, un-reflected, through the first beam splitter and will be incident on the second beam splitter 40. Similarly, a fraction of the incident light will be reflected by the second beam splitter 40 and the rest of the light will pass through the second beam splitter. When the second beam splitter is secured in the first position then the light reflected by the first beam splitter will be substantially parallel to the light reflected by second beam splitter.

The second beam splitter 40 is coupled to the base 20 by the deformable connection 50. This enables the second beam splitter to be rotated relative to the base such that the angle of incidence of the light transmitted by the laser on the second beam splitter can be varied. This in turn will vary the angle at which the light is reflected from the second beam splitter, relative to the light that is reflected from the first beam splitter.

Referring to Figure 2a, if the second beam splitter is rotated in an anti-clockwise direction, then the light reflected from the second beam splitter will diverge from the light reflected from the first beam splitter. Conversely, referring to Figure 2b, if the second beam splitter is rotated in ~~an~~ a clockwise direction, then the light reflected from the second beam splitter will converge towards the light reflected from the first beam splitter.

30

In a preferred embodiment of the invention, the beam

splitting apparatus is formed from kovar, an alloy of cobalt, nickel and iron (typically comprising 17% Co, 29% Ni and 53% Fe). Kovar has been used as it has a low thermal expansion coefficient of 5.5ppm/K. It is believed that the upper limit to the coefficient of thermal expansion is 8ppm/K for a material that can be used to manufacture a beam splitter device according to the present invention. It has been found that wire electro-discharge machining (WEDM) is a machining technique that provides sufficient dimensional control for manufacturing the apparatus at a reasonable cost. It has been found that the WEDM process can achieve an accuracy of  $\pm 2.5\mu\text{m}$ , which is more than acceptable for manufacturing a beam splitter device according to the present invention. Other techniques, such as metal injection moulding, laser cutting, E-beam, chemical machining, etc. would also be suitable if they could provide similar dimensional control. Other materials could be used alternatively if they have suitable thermal qualities and are compatible with the selected manufacturing process: examples of such materials are invar, molybdenum, copper tungsten and an alloy comprising approximately 50% iron and 50% nickel.

The displacement means acts upon the second beam splitter mount 40 such that the material comprising the deformable connection is plastically deformed. This enables the displacement means to move the second beam splitter mount such that it rotates relative to the first beam splitter. It has been found that a force equivalent to 400g (i.e. approximately 4N) has been sufficient to cause plastic deformation of the material. It has been found that the displacement means 80, which is preferably a micro-

manipulator, enables the second beam splitter mount to be rotated by up to  $2^\circ$  relative to the first beam splitter, with the motion of the second beam splitter mount being controlled with a resolution of  $3\text{-}4\mu\text{m}$ . It will be clear that greater  
5 rotation of the second beam splitter mount may be obtained dependent upon the needs of the particular application. In order to obtain greater degrees of rotation, for example of up to 10 degrees, it may be necessary to alter the deformable  
10 connection in order that the higher stresses do not cause the connection to fracture.

The apparatus may be secured to a surface using one of a range of joining techniques, but some form of welding, for example laser welding, resistance welding, projection  
15 welding, etc is preferred over other conventional techniques (such as soldering or the use of adhesives) as there is a significantly reduced chance of creep. The light source 60 and focussing means 70 shown in Figure 1 may be provided on the surface and the beam splitter apparatus may be secured on  
20 the surface so as to be aligned with the light source and focussing means.

Figure 3 shows an application for which a beam splitter arrangement according to the present invention is  
25 particularly suited. A first photodetector 110 is arranged to receive the light reflected by the first beam splitter. An etalon 120 and a second photodetector 130 is arranged such that the light reflected by the second beam splitter is incident upon a surface of the etalon 120 and the output of  
30 the etalon is received by the second photodetector 130. The electrical signal generated by the first photodetector is

indicative of the power output of the light source 60 and is sent to control unit 150 to ensure that the power output of the light source is kept within acceptable limits. The second photodetector receives an optical signal that has passed through the etalon and this signal will have a generally sinusoidal form as the wavelength of the signal varies. The rotation of the second beam splitter mount can be set such that the transmission of a desired wavelength coincides with an easily detectable point of the sinusoidal waveform such as, for example, the point of inflection where the waveform changes from positive to negative (or vice versa). The electrical signal from the second photodetector may be sent to the control unit such that the optical source is controlled to transmit at the desired wavelength (or within acceptable limits of that wavelength), for example by applying heating or cooling to the optical source. Force transducers may be fitted to the displacement means with a feedback path to the control unit such that the force applied by the displacement means and the rotation of the second beam mount may be monitored and controlled.

Figures 4a and 4b show a schematic depiction of a second embodiment of the present invention in which the second beam splitter mount 140 is connected to the base 120 by deformable connection 150. The length of the beam splitter (that is the distance from the extremity of the first beam splitter 130 to the opposed extremity of the second beam splitter 140 as shown in Figure 4a) is 3mm and the width of the beam splitter mounts 130 and 140 (as shown in Figure 4b) is 0.6mm. It will be understood that these dimensions are given by way of example only and that the beam splitter apparatus could be of



almost any size, depending upon the nature of the application for which it is used.

5 The dimensions of the deformable connection must be such that the force applied by the displacement means creates a stress in the deformable connection that is greater than the yield stress of the material. For example, for a beam splitter apparatus made from kovar, the cross section area of the deformable section must be sized so that the load applied  
10 creates a stress in excess of  $340\text{N/mm}^2$ . Typically the cross-sectional area of the deformable connection may vary from  $0.1$  to  $1\text{mm}^2$ , but it will be understood that the cross-sectional area may be greater than or less than these limits depending upon the material used to make the beam splitter  
15 apparatus and the nature of the application in which the apparatus is to be used.

Figure 4c shows a schematic depiction of a third embodiment according to the present invention in which material has been  
20 removed from the deformable connection 250 in order to facilitate the flexure of the deformable connection. It is also possible to add material to the deformable connection in order to provide greater resistance to the bending of the deformable connection. The exact shape and size of the  
25 deformable connection will need to be selected to provide the desired range of rotation and a suitable force-rotation characteristic.

ABSTRACT

OPTICAL BEAM SPLITTER APPARATUS

5

An optical beam splitter arrangement comprising two beam splitter mounts one of which ~~can be rotated~~ is turnable relative to the other by flexing a deformable connection. By controlling the turn angle of rotation it is possible to use

10 the light reflected by one of the beam splitters to monitor the output power of a laser and to use the light reflected by the other beam splitter to monitor the wavelength transmitted by the laser.

15 ~~Figure 1~~

**MARKED-UP VERSION SHOWING CHANGES**

30021072 EP